

Attorney's Docket No. 7598-CONT**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: DAIGRE, RICHARD

Serial No.: 010 / 073,520

Group No.: 3683

Filed: FEBRUARY 13, 2002

Examiner: KRAMER, DEVON C.

For: DISK SPRING HYDRAULIC CLUTCH/BRAKE

Assistant Commissioner for Patents  
Washington, D.C. 20231**RECEIVED**  
**CENTRAL FAX CENTER**  
SEP 21 2004**AMENDMENT TRANSMITTAL**

1. Transmitted herewith is an amendment for this application.

~~STATUS~~

2. Applicant is
- ☒ a small entity. A verified statement:
- ☐ is attached.
- ☒ was already filed.
- ☐ other than a small entity.

**CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

**FACSIMILE**☒ Transmitted by facsimile to the Patent and Trademark Office.  
(703) 872-9306Date: 9-21-04

Signature

WILLIAM S. LIGHTBODY

(type or print name of person certifying)

(Amendment Transmittal [9-19]—page 1 of 4)

**EXTENSION OF TERM**

**NOTE:** "Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

*If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).*

**NOTE:** See 37 CFR 1.645 for extensions of time in interference proceedings, and 37 CFR 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

(complete (a) or (b), as applicable)

- (a) ☐ Applicant petitions for an extension of time under 37 CFR 1.136  
(fees: 37 CFR 1.17(a)-(d) for the total number of months checked below:

| Extension<br>(months)                 | Fee for other than<br>small entity | Fee for<br>small entity |
|---------------------------------------|------------------------------------|-------------------------|
| <input type="checkbox"/> one month    | \$ 110.00                          | \$ 55.00                |
| <input type="checkbox"/> two months   | \$ 380.00                          | \$190.00                |
| <input type="checkbox"/> three months | \$ 900.00                          | \$450.00                |
| <input type="checkbox"/> four months  | \$1,400.00                         | \$700.00                |

Fee \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ months has already been secured and the fee paid therefor of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

OR

- (b) ~~XXX~~ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

(Amendment Transmittal [9-19]—page 2 of 4)

**FEE FOR CLAIMS**

4. The fee for claims (37 CFR 1.16(b)-(d)) has been calculated as shown below:

| (Col. 1)   |    | (Col. 2)                       |    | (Col. 3)           |              | SMALL ENTITY       |              | OTHER THAN A SMALL ENTITY |  |
|--|----|--------------------------------|----|--------------------|--------------|--------------------|--------------|---------------------------|--|
| CLAIMS REMAINING AFTER AMENDMENT                                   |    | HIGHEST NO PREVIOUSLY PAID FOR |    | PRESENT EXTRA RATE |              | ADDIT. FEE OR RATE |              | ADDIT. FEE                |  |
| TOTAL  | 35 | MINUS                          | 35 | = 0                | X 9.00 = \$  |                    | X 18.00 = \$ |                           |  |
| INDEP.   | 8  | MINUS                          | 8  | = 0                | X 40.00 = \$ |                    | X 80.00 = \$ |                           |  |
| <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM |    |                                |    |                    | X 35.00 = \$ |                    | 270.00 = \$  |                           |  |
|  |    |                                |    | TOTAL              | 00           | OR                 | TOTAL        |                           |  |
|  |    |                                |    | ADDIT. FEE         | \$           |                    | ADDIT. FEE   | \$                        |  |

- \* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.
  - \*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".
  - \*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".
- The "Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.
- WARNING:** "After final rejection or action (§ 1.113) amendments may be made cancelling claims or complying with any requirement of form which has been made." 37 CFR § 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

(c) ☒ No additional fee for claims is required.

**OR**

(d) ☐ Total additional fee for claims required \$\_\_\_\_\_

**FEE PAYMENT**

5. ☐ Attached is a check in the sum of \$\_\_\_\_\_
- ☐ Charge Account No. \_\_\_\_\_ the sum of \$\_\_\_\_\_

A duplicate of this transmittal is attached.

(Amendment Transmittal [9-19]—page 3 of 4)

**FEE DEFICIENCY**

**NOTE:** If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).

6. ☒ If any additional extension and/or fee is required, charge Account No. 12-1347.

**AND/OR**

- ☒ If any additional fee for claims is required, charge  
Account No. 12-1347

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William S. Lightbody  
SIGNATURE OF ATTORNEY

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(Amendment Transmittal [9-19]—page 4 of 4)

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Applicant: Daigre, Richard Examiner: Kramer, Devon C.  
Serial No: 10/073,520 Art Unit: 3683  
File Date: February 13, 2002  
Invention: DISK SPRING HYDRAULIC CLUTCH/BRAKE

LIGHTBODY LAW OFFICE  
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September 21, 2004

COMMISSIONER OF PATENTS  
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Alexandria, VA 22313-1450

A M E N D M E N T

Dear Commissioner:

This is a preliminary amendment in respect to the  
above entitled application.

In the specification:

Insert --This is a continuation application of U.S.  
Serial 10/073,520 filed February 13, 2002 entitled DISK SPRING  
HYDRAULIC CLUTCH/BRAKE.--

In the claims

Claim 1 (second amendment). A selectively engageable  
friction mechanism comprising two parts and a housing, one of  
which two parts is rotatable in respect to the other and the  
housing,

at least two friction disks, one of said two friction  
disks being non-rotatively connected to one of the two parts,

R9/7598B

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09/21/04